

Walter & Keenan Wealth Management, LLC

James F. Keenan

**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about James F. Keenan that supplements the ADV Part2A brochure of Walter & Keenan Wealth Management, LLC. You should have received a copy of that brochure. Please contact James Keenan at 574-287-5977 or at jamesfkeen@walterandkeen.com, if you did not receive the ADV Part2A brochure of Walter & Keenan Wealth Management, LLC or if you have any questions about the contents of this supplement.

Additional information about Walter & Keenan Wealth Management, LLC is available on the SEC's website at www.adviserinfo.sec.gov.

July 12, 2021

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Educational Background and Business Experience

James F. Keenan

Year of Birth: 1960

Formal Education after High School:

University of Notre Dame, South Bend, Indiana, B.A. 1982

Wayne State University Law School, JD 1985

Business Background for the Previous Five Years:

Walter & Keenan Wealth Management, LLC, [Owner](#)

Certifications:

Chartered Financial Analyst.

The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute – the largest global association of investment professionals.

There are currently more than 90,000 CFA charterholders working in 135 countries. To earn the CFA charter, candidates must: 1) pass three sequential, 6 hour examinations; 2) have at least 4 years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

The CFA Institute Code of Ethics and Standards of Professional Conduct is enforced through an active professional conduct program. This program requires CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters.

Disciplinary Information

James F. Keenan has no disciplinary information to report.

Other Business Activities

James F, Keenan serves on the board of directors of the following private foundations: The Judd Leighton Foundation, the Plym Foundation, the Edward and Irma Hunter Foundation and the Wood Foundation. Mr. Keenan is also President of the Judd Leighton Foundation, President of the Hunter Foundation, secretary of the Plym Foundation, and Vice-President/Secretary of the Wood Foundation. Mr. Keenan does receive compensation for his services to the Judd Leighton Foundation as President and does receive compensation for his services as a board member of these three other foundations. Mr. Keenan is also personal representative of an estate that is also a client of Walter & Keenan. The directorships and officer positions combined take less than 25% of Mr. Keenan's time. These positions and outside activities by their nature carry inherent conflicts of interest (e.g., Walter & Keenan may have a reason to favor these clients over its other clients) but Mr. Keenan believes that they do not impair his independence and effectiveness on behalf of other clients of Walter & Keenan Wealth Management, LLC.

Additional Compensation

Mr. Keenan receives compensation for his services to the Judd Leighton Foundation as President and does receive compensation for his services as a board member of these three other foundations. He may also receive separate compensation when he acts as a Trustee for certain client accounts.

Supervision

Investment advisory representatives of Walter & Keenan Wealth Management, LLC. are subject to a compliance manual and a code of ethics that impose certain limitations on personal trading activities of the employees of the firm and sets forth other requirements for Keenan's conduct. Additionally, the firm's compliance manual and code are designed to enforce its policies to prevent the misuse or dissemination of material, non-public information about client account holdings by persons associated with the firm. Furthermore, Keenan, as Chief Compliance Officer conducts periodic reviews to ensure that client suitability information is accurate and current so as to provide reasonable assurance that the advice provided to our clients is consistent with each client's stated investment objectives and the firm's policies and procedures.